



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,683	07/20/2001	Neil J. Kiely	0178-2002

CONFIRMATION NO. 2976

## FORMALITIES LETTER



\*OC00000006396891\*

Farrell & Associates, P.C.  
P.O. Box 999  
York Harbor, ME 03911

Date Mailed: 08/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

COPY OF PAPERS  
ORIGINALLY FILED

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$228.
  - \$108 for 12 total claims over 20.
  - \$120 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 648.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/29/2002 ENGUYEN1 00000068 03910683

02 FC:201 370.00 0P  
03 FC:203 108.00 0P  
04 FC:202 126.00 0P  
05 FC:205 65.00 0P

Sector #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kiely et al.

Application No.: 09/910,683

Filing Date: July 20, 2001

Title: WORLD WIDE WEB UPSELL SYSTEM AND METHOD

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on 10/23/01  
FARRELL & ASSOCIATES, P.C.

James M. Haulton 10/23/01

TRANSMITTAL OF VERIFIED STATEMENT

Commissioner for Patents

Washington, D.C. 20231

Sir:

Please file the enclosed Verified Statement Claiming Small Entity Status in the above-identified patent application.

Respectfully submitted,

Kevin M. Farrell

Registration No. 35,505

Attorney for Applicant(s)

York Harbor, ME 03911

Dated: 10/19/01

0178\ARC\2002VS.CVR



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kiely et al.

Serial Number: 09/910,683

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FARRELL & ASSOCIATES, P.C.

Kevin M. Farrell 10/23/01

TRANSMITTAL OF DECLARATION/POWER OF ATTORNEY

Box Missing Parts  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated August 8, 2001, enclosed herewith is an executed Declaration/Power of Attorney for filing in the captioned application. A separate Petition for a one-month extension is attached. A copy of the Notice is attached together with a check in the amount of \$669.00; \$65.00 in payment of the surcharge for a Small Entity, \$370.00 in payment of the statutory basic filing fee, and \$234.00 in payment of the additional claim fees. An executed Verified Statement Claiming Small Entity Status is enclosed.

Please charge Applicant's Attorney's Deposit Account No. 06-0130 for any additional fees that may be due in this matter.

Respectfully submitted,

Kevin M. Farrell

Kevin M. Farrell  
Attorney for Applicant  
Registration No.: 35,505  
Telephone: (207) 363-0558

York Harbor, ME

Date: 10/23/01